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Form PTO-1449 Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket No. PAT013	Serial No. Unassigned
	Applicants James N. Baillargeon and Stefan J. Murry	
	Filing Date Herewith	Group Art Unit Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AK</i>	5,468,656	11/21/95	Shieh <i>et al.</i>			
	5,548,607	08/20/96	Tsang, Won-Tien			
	5,625,636	04/29/97	Bryan <i>et al.</i>			
	5,805,755	09/08/98	Amersfoot <i>et al.</i>			
	5,867,521	01/01/99	Macomber			
	5,901,168	05/04/99	Baillargeon <i>et al.</i>			
	5,985,686	11/16/99	Jayaraman			
<i>AQ</i>	6,162,655	12/19/00	Johnson <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AK</i>	MacDougal <i>et al.</i> , "Electrically-Pumped Vertical-Cavity Lasers with AlGaAs Reflectors," <i>IEEE Photonics Tech. Letters</i> , Vol. 8, No. 3, (1996) pp. 310-312.
<i>AK</i>	Sandusky, J. and Brueck, S., "A CW External-Cavity Surface-Emitting Laser," <i>IEEE Photonics Tech. Letters</i> , Vol. 8, No. 3, (1996), pp. 313-315.
<i>AK</i>	Hauffe <i>et al.</i> , "Methods of Passive Fiber Chip Coupling of Integrated Optical Devices," <i>Proc. 2000 Electronic Components and Technology Conference</i> , (2000), pp. 238-243.
<i>AK</i>	Noll, R. J. and Macomber, S. H., "Analysis of Grating Surface Emitting Lasers," <i>IEEE J. Quantum Electronics</i> , Vol. 26, No. 3, (1990), pp. 456-466.
<i>AK</i>	<i>Optoelectronic Packaging</i> , eds. Mickelson <i>et al.</i> , (New York: John Wiley & Sons, 1997), esp. ch. 9, "Array Device Packaging," by Nagesh R. Basavanhally & Ronald A. Nordin, esp. pp. 143-148.

EXAMINER	<i>Amarek Radigez</i>	DATE CONSIDERED	<i>7-21-02</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket No. PAT013US	Serial No. 09/855,853
	Applicants Stefan J. Murry and James Baillargeon	
	Filing Date May 15, 2001	Group Art Unit 2881



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AA</i>	Okamoto, Katsunari, "Hybrid Integration Technology Using PLC Platforms," Fundamentals of Optical Waveguides, (2000), Section 9.7, pp. 390-400.

EXAMINER *Armando Rodriguez* DATE CONSIDERED *1-21-02*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.